

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-612

In Re Application Of: **Hedrick et al.**

JUN 07 2004

Serial No.  
10/661,229

Filing Date  
September 12, 2003

Examiner  
Unknown

Group Art Unit  
3713

**Title: GAMING DEVICE HAVING A CARD MANAGEMENT SYSTEM FOR THE MANAGEMENT OF  
CIRCULATING DATA CARDS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

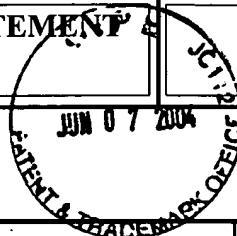
**OR**

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-612

In Re Application: **Hedrick et al.**



Serial No. 10/661,229	Filing Date September 12, 2003	Examiner Unknown	Group Art Unit 3713
--------------------------	-----------------------------------	---------------------	------------------------

**GAMING DEVICE HAVING A CARD MANAGEMENT SYSTEM FOR THE MANAGEMENT OF  
CIRCULATING DATA CARDS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **June 3, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Renee Street

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Dated: **June 3, 2004**

**Adam H. Masia**

Reg. No. 35,602

**BELL, BOYD & LLOYD LLC**

**P.O. Box 1135**

**Chicago, Illinois 60690-1135**

**Phone: (312) 807-4284**

**CC:**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hedrick et al.  
Appl. No.: 10/661,229  
Conf. No.: 6841  
Filed: September 12, 2003  
Title: GAMING DEVICE HAVING A CARD MANAGEMENT SYSTEM FOR THE MANAGEMENT OF CIRCULATING DATA CARDS  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 112300-612

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,764,666	August 16, 1988	Bergeron
4,880,237	November 14, 1989	Kishishita
4,882,473	November 21, 1989	Bergeron
5,038,022	August 6, 1991	Lucero
5,179,517	January 12, 1993	Sarbin et al.
5,265,874	November 30, 1993	Dickinson et al.
5,276,312	January 4, 1994	McCarthy
5,290,033	March 1, 1994	Bittner et al.
5,371,345	December 6, 1994	LeStrange et al.
5,554,312	September 10, 1996	Ward
5,575,374	November 19, 1996	Orus et al.
5,645,485	July 8, 1997	Clapper Jr.

5,816,918	October 6, 1998	Kelly et al.
5,902,983	May 11, 1999	Crevelt et al.
5,902,184	May 11, 1999	Bennett
5,935,000	August 10, 1999	Sanchez, III et al.
5,952,640	September 14, 1999	Lucero
5,959,277	September 28, 1999	Lucero
5,988,642	November 23, 1999	Ziemba et al.
5,993,316	November 30, 1999	Coyle et al.
6,007,426	December 28, 1999	Kelly et al.
6,012,832	January 11, 2000	Saunders et al.
6,015,344	January 18, 2000	Kelly et al.
6,019,283	February 1, 2000	Lucero
6,048,269	April 11, 2000	Burns et al.
6,089,982	July 18, 2000	Holch et al.
6,113,098	September 5, 2000	Adams
6,142,876	November 7, 2000	Cumbers
6,227,972	May 8, 2001	Walker et al.
6,313,856	November 6, 2001	Ulrich
6,347,738	February 19, 2002	Crevelt et al.
6,371,852	April 16, 2002	Acres
6,394,907	May 28, 2002	Rowe
6,409,595 B1	June 25, 2002	Uihlein et al.
6,471,590	October 29, 2002	Saunders
6,500,067	December 31, 2002	Luciano et al.
6,511,377	January 28, 2003	Weiss
6,547,131	April 15, 2003	Foodman et al.
6,547,664	April 15, 2003	Saunders
6,558,256	May 6, 2003	Saunders
6,623,357 B2	September 23, 2003	Chowdhury
6,629,591	October 7, 2003	Griswold et al.
6,676,515	January 13, 2004	Baltz et al.
6,679,775	January 20, 2004	Luciano et al.

**FOREIGN PATENT DOCUMENTS**

Document No.      Date      Country

**OTHER DOCUMENTS**

Applicants look forward to early and favorable consideration of this matter.

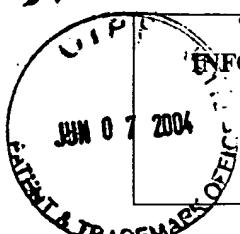
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY *Adam H. Masia*

Adam H. Masia  
Reg. No. 35,602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Dated: June 3, 2004



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

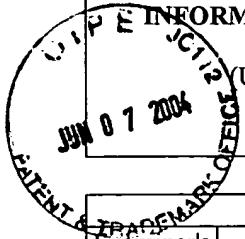
PTO Form 1449

Atty Docket No. 112300-612	Application No. 10/661,229
Applicant Hedrick et al.	
Filing Date September 12, 2003	Group 3713

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,764,666	August 16, 1988	Bergeron			
	4,880,237	November 14, 1989	Kishishita			
	4,882,473	November 21, 1989	Bergeron			
	5,038,022	August 6, 1991	Lucero			
	5,179,517	January 12, 1993	Sarbin et al.			
	5,265,874	November 30, 1993	Dickinson et al.			
	5,276,312	January 4, 1994	McCarthy			
	5,290,033	March 1, 1994	Bittner et al.			
	5,371,345	December 6, 1994	LeStrange et al.			
	5,554,312	September 10, 1996	Ward			
	5,575,374	November 19, 1996	Orus et al.			
	5,645,485	July 8, 1997	Clapper Jr.			
	5,816,918	October 6, 1998	Kelly et al.			
	5,902,983	May 11, 1999	Crevelt et al.			
	5,902,184	May 11, 1999	Bennett			
	5,935,000	August 10, 1999	Sanchez, III et al.			
	5,952,640	September 14, 1999	Lucero			
	5,959,277	September 28, 1999	Lucero			
	5,988,642	November 23, 1999	Ziemba et al.			
	5,993,316	November 30, 1999	Coyle et al.			
	6,007,426	December 28, 1999	Kelly et al.			
	6,012,832	January 11, 2000	Saunders et al.			
	6,015,344	January 18, 2000	Kelly et al.			
	6,019,283	February 1, 2000	Lucero			
	6,048,269	April 11, 2000	Burns et al.			
	6,089,982	July 18, 2000	Holch et al.			
	6,113,098	September 5, 2000	Adams			
	6,142,876	November 7, 2000	Cumbers			
	6,227,972	May 8, 2001	Walker et al.			
	6,313,856	November 6, 2001	Ulrich			

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small> PTO Form 1449			Atty Docket No. 112300-612	Application No. 10/661,229
			Applicant Hedrick et al.	
			Filing Date September 12, 2003	Group 3713



U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		6,347,738	February 19, 2002	Crevelt et al.			
		6,371,852	April 16, 2002	Acres			
		6,394,907	May 28, 2002	Rowe			
		6,409,595 B1	June 25, 2002	Uihlein et al.			
		6,471,590	October 29, 2002	Saunders			
		6,500,067	December 31, 2002	Luciano et al.			
		6,511,377	January 28, 2003	Weiss			
		6,547,131	April 15, 2003	Foodman et al.			
		6,547,664	April 15, 2003	Saunders			
		6,558,256	May 6, 2003	Saunders			
		6,623,357 B2	September 23, 2003	Chowdhury			
		6,629,591	October 7, 2003	Griswold et al.			
		6,676,515	January 13, 2004	Baltz et al.			
		6,679,775	January 20, 2004	Luciano et al.			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation Yes      No

Examiner's Initials		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)